

VANTAGE POINT INVESTMENTS, INC.

FORM ADV PART 2B INDIVIDUAL DISCLOSURE BROCHURE

ROBERT F. HANSEN PRESIDENT AND CHIEF COMPLIANCE OFFICER

This Brochure provides information about Robert Hansen, President and Chief Compliance Officer of Vantage Point Investments, Inc. This Brochure is a Supplement to the Vantage Point Investment Brochure. Please contact our office if you did not receive our firm's Brochure or if you have any questions. Additional information about Vantage Point Investments and Robert Hansen is available on the SEC's website at www.adviserinfo.sec.gov.

Robert Hansen's CRD number is: 3072326

**Vantage Point Investments, Inc.
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www.vpivpf.com**

March 31, 2022

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

ROBERT F. HANSEN
PRESIDENT AND CHIEF COMPLIANCE OFFICER

Year of Birth: 1973

Post-Secondary Education:

Utah Valley University

Licenses and Examinations:

State of Utah: Life and Health Insurance

Series 65: Investment Adviser Law Exam

Series 3: National Commodities Futures Exam

Series 6: Investment Company and Variable Contracts*

Series 63: State Blue Sky Law Exam*

* Historical only – these exams *are inactive* as registrant is *not* registered with a broker/dealer

Business Background:

Vantage Point Investments, Inc., Sandy, UT

President and Chief Compliance Officer

Registered Investment Adviser 2013 – Present

Prosper Wealth Solutions, LLC, Lehi, UT

Investment Adviser Representative

Registered Investment Adviser 3/2021 - Present

GBS Financial Corp.

Registered and Investment Adviser Representative

Broker/Dealer and Investment Adviser 1/2009 – 12/2013

EPlanning Securities, Inc.

Registered and Investment Adviser Representative

Broker/Dealer 6/2008 to 12/2008

Sammons Securities Company, LLC

Registered Representative

Broker/Dealer 2/2002 – 6/2008

SPC

Investment Adviser Representative

Registered Investment Adviser 11/2003 to 06/2008

Walnut Street Securities, Inc.

Registered Representative

Broker/Dealer 01/2000 to 02/2002

DISCIPLINARY INFORMATION

Robert Hansen has not been involved in any issues involving criminal or investment-related civil actions, regulatory or self-regulatory proceedings, administrative proceedings before the SEC or any other federal or foreign regulatory authorities. Mr. Hansen has not been a party to a bankruptcy petition. Mr. Hansen's registration records do not contain any other information that would be material to a client's or prospective client's evaluation of Mr. Hansen or the integrity of his practice. As noted on the cover page of this Brochure, disclosure background on Registered Investment Advisers and Adviser Representatives can be reviewed via the SEC's public disclosure site at www.adviserinfo.sec.gov

OTHER BUSINESS ACTIVITIES AND ADDITIONAL COMPENSATION

Other than salary from Vantage Point Investments (VPI), Mr. Hansen does not receive any economic benefit from any person, company, or organization, in exchange for providing VPI's clients with advisory services. As disclosed in Item 10.B & C of VPI's Form ADV 2A Brochure:

Robert F. Hansen is a shareholder of and an independent insurance agent (Life and Health Licensed) with the affiliated entity, Vantage Point Insurance Services, Inc., ("VPIS"). Mr. Hansen's insurance activities represent approximately 20% of his time during the year. VPIS may also receive normal agency commissions as a result of Mr. Hansen's activities. Please refer to the ADV 2A, Item 10.C disclosure for more information.

Robert Hansen is an associated person of Managed Account Research, Inc., an unaffiliated firm registered with the Commodities Futures Trading Commission and National Futures Association. In this capacity, Mr. Hansen earns commissions via the firm's branch office, Vantage Point Futures. These services and the fees earned are separate and distinct from the business and fees earned through VPI. It is possible that these services could be offered to VPI's advisory clients and this presents a conflict of interest between the Adviser and its client since the Adviser earns a fee on managed accounts (including futures accounts) and the Adviser Representatives (who are licensed to do so) have the ability to earn commissions on futures trades. Clients are welcome but are never obligated to act on any recommendation. Please refer to the ADV 2A, Item 10.B disclosure for more information.

Dual Registration: In March 2021, Robert Hansen became dually registered as an investment adviser representative with Prosper Wealth Solutions, LLC, (PWS) an unaffiliated Registered Investment Adviser located in Utah. As discussed at ADV 2A, Item 10.C, the purpose of this registration is to provide support services to PWS. The services offered by PWS are separate and distinct from VPI and will not involve or be recommended to VPI clients.

SUPERVISION

Personnel members are evaluated on their level of education and professional work experience prior to their association with VPI. As VPI's President and Chief Compliance

Officer, Robert Hansen has a vested interest in the Adviser's internal compliance and risk management. Mr. Hansen is in charge of supervising the day-to-day activities of the Adviser and its personnel. The Adviser's supervisory system establishes clear lines of authority, accountability, and responsibility. VPI maintains written policies and procedures as part of the Adviser's internal compliance program. Clients are welcome to contact the Chief Compliance Officer with questions or concerns in connection with the Adviser's services, staff monitoring or the Adviser's internal compliance program. Mr. Hansen's contact information is listed on the cover pages of ADV Part 2A and ADV 2B.

DISCLOSURE FOR STATE-REGISTERED ADVISERS

Robert Hansen has **not** been involved in any of the events listed below:

An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity
- (b) fraud, false statement(s), or omissions
- (c) theft, embezzlement, or other wrongful taking of property
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Hansen has **not** been involved in an award of damages/losses or otherwise *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity
- (b) fraud, false statement(s), or omissions
- (c) theft, embezzlement, or other wrongful taking of property
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Hansen has not been the subject of a bankruptcy petition.